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[No.4342—ST-BT-MISC-0015/2024/ST.]

SCIENCE & TECHNOLOGY DEPARTMENT

RESOLUTION

The 6th September, 2024

SUB: OPERATIONAL GUIDELINES ON ASSISTANCE FOR TECHNOLOGY ACQUISITION AND UPGRADATION SUBSIDY FOR BIOTECHNOLOGY UNITS UNDER THE PROVISIONS OF ODISHA BIOTECHNOLOGY POLICY – 2024.

(Science & Technology Department Resolution No - 393-ST-BT-MISC-0026/2022/ST., dated the 25th January, 2024) (See Para 4.2.10 of Odisha Biotechnology Policy, 2024)

- 1. Short Title:** Operational guidelines for Technology Acquisition and Upgradation Subsidy for Biotechnology Units under the provisions of Odisha Biotechnology Policy – 2024.
- 2. Extent:** –It shall extend to the whole of the State of Odisha.
- 3. Commencement:**–It shall come into force from the “Effective Date” of Odisha Biotechnology Policy 2024 i.e. dated 25th January, 2024.
- 4. Policy Provision: Technology acquisition and upgradation subsidy: (4.2.10 of OBP-2024)**

Biotechnology sector demands sophisticated technologies which may need to be acquired and upgraded from time to time. In this regard, the unit shall be eligible to apply for reimbursement of 50% of the amount spent on purchase of high impact technologies patented within last 3 years from the National Research Development Corporation (NRDC) or other Government research centers, up to a maximum limit of Rs.1 crore.

5. Eligibility:–

1. The scheme shall be open to Biotechnology units/Start-ups engaged in product/process development operating in the state of Odisha with a healthy financial track record.

2. The technology acquired should be new or improved or upgraded high impact technology from R & D Institutions as per the policy provision.
3. The technology acquired can be either indigenous or imported and should have been patented within last 3 years and follow the policy provision as per OBP-2024.
4. The eligible Biotechnology Unit can avail this subsidy up to maximum limit as mentioned in the policy provision only once during the policy period. However, if multiple patented technologies are obtained, total eligible grant amount remains same.
5. Eligible Biotechnology Units/start-ups that has availed this subsidy from any other schemes of the State Government/ Government of India/ Government agencies shall be entitled only for the differential amount of benefit.

6. Procedure: –

1. Biotechnology Units satisfying the eligibility shall file application for claim in the prescribed form at **Annexure - A** along with copies of all relevant documents as mentioned in the Checklist at **Annexure - B** and Undertaking at **Annexure - C** to the Science & Technology Department, Govt. of Odisha.
2. Copies of the documents as indicated in the checklist shall be self - certified by Proprietor / Promoter/ Managing Partner / Managing Director / Authorized Signatory.
3. On receipt of application, the acknowledgement as prescribed at **Annexure - D** shall be dispatched to the applicant / applicant unit duly signed by the officer of Science & Technology Department, Odisha.

7. TIME FRAME FOR FILING APPLICATION: –

1. Application for availing the Technology Acquisition and Upgradation Subsidy as per the OBP- 2024 will be accepted round the year.
2. The eligible Biotechnology unit shall submit its claim in the prescribed form within one year from the date of commercial production using the procured technology.
3. Application in the prescribed form received incomplete in any respect shall be liable to be summarily rejected.

8. EVALUATION CRITERIA:–Applications will be evaluated for their scientific, technological, commercial and financial merits by a **Technical Evaluation**

Committee (TEC). The TEC shall be constituted by the Science & Technology Department with the following members.

Sl. No	Designation	Position
1	Director, Biotechnology, Science & Technology Dept.	Chairperson
2	Director of Industries, Odisha/ Representative	Member
3	Representative of Finance Department	Member
4	Member Secretary OSPCB/Technical Representative	Member
5	Technical expert from Biotechnology Industry	Member
6	Technical expert from Biotechnology R&D Institute	Member
7	Representative of Industries Department	Member
8	Expert on IPR	Member
9	Deputy Director, Biotechnology, Science & Technology Dept.	Member Convener
10	Any other Expert member as required (Sector Specific)	Member

1. The eligible applicant shall present details before the TEC and the TEC may visit the Biotechnology unit for a verification of application of technology and documentation.
2. The TEC shall go into merits of each case to decide whether the Biotechnology Unit technically qualifies for grant of this subsidy and will determine the eligibility, milestones & quantum of subsidy, etc.

Evaluation criteria include:

- i. The soundness, scientific quality and technological merit of the proposal.
- ii. Present market status of the technology, its commercial viability.
- iii. The potential for wide application and the benefits expected to accrue.
- iv. The organizational and commercial capability of the enterprise for optimum utilisation of this technology.
- v. The reasonableness of the cost of the required technology.

- vi. Understanding provisions of agreement between Technology Purchaser and Provider.
- vii. Measurable objective, targets and milestones.
- viii. Regulatory & marketing clearances as granted by appropriate authority.
- ix. Track record of the entrepreneur.

9. Procedure for Approval: –

1. The findings of the TEC shall be placed before State Level Sanctioning Committee (SLSC) as constituted below:

Sl. No	Designation	Position
1	Principal Secretary, Science & Technology	Chairperson
2	Director of Industries, Odisha/ Representative	Member
3	MD-IPICOL/ Representative	Member
4	Representative of Finance Department	Member
5	Member Secretary OSPCB	Member
6	Technical expert from the TEC	Member
7	Director, Biotechnology, Science & Technology Dept.	Member Convener
8	Any Other Member as required(Sector-Specific)	

2. Considering the merit of each case, the **SLSC** shall recommend or reject the proposals received.
3. The recommendation of the SLSC shall be placed to Government for approval.

10. Sanction & Disbursement: –

1. After obtaining due Government approval, Director Biotechnology shall issue the sanction order to the Biotechnology Unit within **15 days**.
2. The subsidy shall be disbursed online through DBT to the approved Biotechnology Unit's bank account, subject to receipt of the indemnity bond.

3. The amount will be disbursed in milestones as agreed with technology provider as well recipient as the case deemed fit.
4. Disbursement will be made as per the availability of funds.
5. The beneficiary unit will furnish the utilization certificate **as per OGFR guidelines, 2023** to the Science & Technology Department after receipt of the subsidy.
6. An output report on the upgraded technology in terms of enhanced productivity, quality output, manpower engaged, profitability, etc. shall be submitted by the beneficiary Biotechnology unit to Science & Technology Department, Odisha once in each year for a period of 3 years from the date of sanction of the subsidy.

11.Recovery of Subsidy on Technology Acquisition and Upgradation: – In the following events or circumstances, Subsidy on Technology Acquisition and Upgradation Received by a Biotechnology unit shall be recoverable from it within a period of one month from the date of an order issued to such effect by the Director of Biotechnology.

- (i) Where a Biotechnology Unit has availed of this Subsidy by misrepresentation, fraud or by furnishing false & misleading information or by suppressing facts/materials or disbursed in excess of the amount actually admissible for whatsoever reason.

12.Miscellaneous: –

1. Any change in facts or circumstances affecting the eligibility of the unit shall be immediately intimated in writing to the Science & Technology Department, Odisha by the unit.
2. Time limit prescribed in this guideline is of working days only.
3. Where any doubt arises regarding implementation of these rules, the same shall be referred to Government of Odisha in the Science & Technology Department whose decision shall be final and binding on all the parties.

By Order of the Governor
CHITHRA ARUMUGAM
Principal Secretary to Government

Annexure-A**APPLICATION FOR SANCTION AND DISBURSEMENT OF TECHNOLOGY ACQUISITION AND UPGRADATION SUBSIDY UNDER PROVISIONS of ODISHA BIOTECHNOLOGY POLICY- 2024.**

Application received after the due date / incomplete in any respect shall be liable for rejection (Strike out whichever is not applicable)

M/s. _____

_____At/PO_____

_____Sub-

Division_____

___Dist._____

(Location of the Biotechnology Unit)

To

The Director,
Biotechnology,
Science&Technology
Department,

Govt. of Odisha, 7th Floor,
Kharavela Bhawan Bhubaneswar-
751001

Sub: Application for Technology Acquisition and Upgradation Subsidy under
ODISHA BIOTECHNOLOGY POLICY- 2024

Sir,

In accordance with the provisions laid down in Odisha Biotechnology Policy, 2024 as per Para 4.2.10 and its operational guidelines, the claim for Technology Acquisition and Upgradation Subsidy is submitted with following particulars.

1	Category of the Biotechnology Unit		:	
	A	Start-Ups /MSME / Large / Mega Units	:	

	B	(i)	Migrated Unit as defined in OBP- 2024	:	
		(ii)	E/M/D as defined as defined in OBP-2024	:	
2	Address of Registered office			:	
3	Type of organization (Proprietorship / Partnership / Co-operative / Private Limited / Public Limited)			:	
4	Name of Proprietor / Managing Partner / Managing Director / Authorized Signatory			:	
5	EM-II / IEM / I L No. and date			:	

6	Items of manufacture / activity	:	Original		
			Item	Quantity	Value
			After expansion / modernization / diversification		
			Item	Quantity	Value
7	Date of commencement of production	:	Original-		
			E / M/ D-		

8	Date of first fixed capital investment for New Biotechnology Unit/Start-ups (i.e., plant & machinery and balancing equipment.)	:			
9	Date of first fixed capital investment for E/M/D of Existing Biotechnology Unit.				
10	Total Capital investment (Head-wise & specify investment in Laboratory / R&D Lab)	:	Original	E/M/D	Total
	a.				
	b.				
	c.				
	d				
11	Name of the Financial Institution (s) / Bank(s) Specify the date of sanction & amount of term loan / working capital loan	:			
12	Whether loan has been availed from FI / Banks for the purpose of Technology Acquisition and Upgradation, if so specify-				
	a. Name of FI/Bank				
	b. Amount of loan availed				
13	Details of the existing technology used and justification for the acquired technology.				
14	Details of the Technology acquired.				

15	Name & address of the organisation from which the technology is being acquired.		
16	Details of the benefits expected/achieved from the acquired technology.		
17	Amount of expenditure incurred towards the technology acquisition or upgradation (copy of the bills / vouchers /receipt etc. be submitted with a statement)		
18	Present claim for assistance		
19	Details of assistance sanctioned / availed from any State Govt. or the Central Govt. (GoI) or any Financial Institutions of the country or abroad, in this regard with sanction order no & date		
20	Differential amount of claim if any		

I ,Mr./Ms._____S/o / D/o_____at
present

_____ (designation) of M/S_____ (name of the
Biotechnology unit) certify that the information furnished as above is true and correct to
the best of my knowledge and belief.

I hereby undertake to abide by the terms and conditions prescribed under the
provisions of Odisha Biotechnology policy, 2024 and its operational guidelines.

I hereby undertake to repay / surrender the incentives, or any part thereof
availed with penal interest as decided by the authority-

- i. If the information stated above is found to be false/ incorrect / misleading or misrepresented and there has been suppression of facts / materials or if found to have been disbursed in excess of the amount actually admissible for whatsoever reason.
- ii. If the technology acquired is used for any other purpose than mentioned in the proposal.

I hereby certify that this Biotechnology unit/Start-up has not applied / sanctioned / availed any amount of assistance under Technology Acquisition & Upgradation from any other department of the State Govt. or the Central Govt. or any Financial institution(s) / Support organization in the country and abroad against which the present claim is made.

I hereby undertake to furnish its audited financial statements and other periodical statements of each financial year to the Science & Technology Department during the period of incentives.

Copies of relevant documents in support of information / facts furnished above are enclosed herewith.

Place
Managing Partner /

Signature of the Proprietor /

Date
Signatory of

Managing Director / Authorised

List of documents
attached

M/s ..

CHECK LIST**For the Technology Acquisition and Upgradation Subsidy under the provisions of OBP – 2024.**

Copies of documents to be attached with the application shall be self-attested by Proprietor / promoter/ Managing Partner / Managing Director / Authorized Signatory

(Strike out whichever is not applicable)

1	Entrepreneurs Memorandum- II/ IEM / Industrial License / production certificate
2	Power of Attorney / Board Resolution / Society Resolution, as applicable, while signing as Partner / Managing Director / Authorized person.
3	Certificate of registration under Indian Partnership Act1932 / Societies Registration Act-1860 / Certificate of incorporation (Memorandum of Association & Article of Association) under Company Act-1956/2013 or any other certificate
4	Migrated Biotechnology unit treated as new industrial unit
5	Document in support of date of first investment in fixed capital i.e., land / building / plant & machinery and balancing equipment in respect of Original / Expansion / Modernisation / Diversification (Attach Bank Statement)
6	Appraisal & approval documents in support of E/M/D as defined in OBP-2024
7	Statement on fixed asset acquired & installed for Lab. R&D Lab. Supported with bills & vouchers
8	Term loan sanction order of OSFC / Banks / FI
9	Sanction order of loan availed from FI / Banks for the purpose of Technology Acquisition &Upgradation
10	Quality Certificate / Registration Certificate issued by the competent authority
11	Details of the Technology used for commercial production
12	Statement on expenditure incurred for obtaining the high impact technology supported with copies of the bills / vouchers / receipt etc.

13	Details of assistance sanctioned / availed so far with sanction order no & date and other supporting documents from State Govt / Central Govt / Govt. Agencies / Financial Institutions
14	Indemnity Bond –Annexure E
15	Valid statutory clearances including consent to operate issued by OSPCB.
16	Regulatory clearances from appropriate authority
17	Agreement with technology provider with payment milestones.
18	Any other documents if required.

UNDERTAKING**For the Technology Acquisition and Upgradation Subsidy under the provisions of OBP – 2024.**

(Strike out whichever is not applicable)

- i. I / We shall abide by the terms and conditions prescribed under the provisions of Odisha Biotechnology Policy, 2024 and its operational guidelines.
- ii. I / We shall repay the technology acquisition and upgradation subsidy or any part thereof with penal interest as decided by the authority- If the information stated in the application & supporting documents is found to be false/ incorrect / misleading or mis-represented and there has been suppression of facts / materials or if found to have been disbursed in excess of the amount actually admissible for whatsoever reason.
- iii. I / we the promote(s) have not defaulted to Banks / Development Financial Institutions / SIDBI / OSFC / IPICOL / Government and Government controlled agencies in connection with the unit for which the incentive is sought or for any other unit / activity in the state with which concerned promoter(s) is / are directly or indirectly associated.
- iv. a) This Biotechnology unit has not applied / availed assistance for the technology acquisition and upgradation subsidy in any manner under any other scheme of the State Govt. or the Central Govt. or any Financial Institution(s). or
b) This Biotechnology Unit has availed this subsidy from (.....) and applied only for the differential amount of benefit within the maximum limit of the policy provision.
- v. The technology acquired and upgraded will not be utilized for any disruptive activities with respect to the human life and environment and new risks to public safety and national security.

I / we shall furnish its audited financial statements and other periodical statements of each financial year to the Science & Technology Dept. during the period of incentives.

Place

Signature of the Proprietor / Managing Partner /

Date

Managing Director / Authorised Signatory of

M/s

(FOR OFFICE USE)

DIRECTOR OF
BIOTECHNOLOGY, SCIENCE &
TECHNOLOGY DEPARTMENT
GOVT. OF ODISHA

Letter No. _____ / Date _____

Acknowledgement

**For the Technology Acquisition and Upgradation Subsidy under the
provisions of OBP – 2024.**

To,

*(To be issued by authorized officer on the day of
receipt)(Strike out whichever is not applicable)*

Mr./Ms. _____

M/s. _____

Received the application
for under the provisions of ODISHA
BIOTECHNOLOGY POLICY-2024 and its operational guidelines along with
documents mentioned below from M/s----- At/PO-----Dist. on
dt through post / person.

List of documents

- 1.
- 2.
- 3.

Signature of authorised officer. Science & Technology Department with seal
& date